For Internal Use Only Submit 1 Original OMB Approval No.: Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 **UNITED STATES** SEC / TM SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.) Open-end Management Investment Company Processing 3. Class of New Derivative Securities Product: **Investment Company Units** 17002107 4. Name of Underlying Instrument: S&P 500 Dividend Aristocrats Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: January 3, 2017 SEC 2449 (1/99) JAN 0 4 2017



Via Overnight Mail

January 3, 2017

Ms. Claudette Ransom US Securities and Exchange Commission Division of Trading and Markets Station Place - Building I 100 F Street, N.E. - Room 6628 Washington, DC 20549

Re: 19b-4(e) – Transmittal

Dear Ms. Ransom:

SEC Mail Processing Section

Washington DC 412

JAN 04 2017

Martha Redding Associate General Counsel **Assistant Secretary**

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2938 F + 1 212 656 8101 Martha.Redding@thelce.com

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Kale 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

> Cambria Sovereign High Yield Bond ETF (SOVB) Cambria Foreign Shareholder Yield ETF (FYLD) Cambria Global Asset Allocation ETF (GAA) Cambria Value and Momentum ETF (VAMO) S&P 500 Dividend Aristocrats (NOBL) MSCI EAFE Dividend Growers (EFAD) MSCI Europe Dividend Growers (EUDV) Elkhorn S&P MidCap Energy Portfolio (XE) Elkhorn S&P MidCap Consumer Discretionary Portfolio (XD) Elkhorn S&P MidCap Financials Portfolio (XF) Elkhorn S&P MidCap Information Technology Portfolio (XK) Elkhorn S&P MidCap Materials Portfolio (XM) Elkhorn S&P MidCap Health Care Portfolio (XH) Elkhorn S&P MidCap Industrials Portfolio (XI) Elkhorn S&P MidCap Consumer Staples Portfolio (XS) Elkhorn S&P MidCap Utilities Portfolio (XU) O'Shares FTSE Russell Small Cap Quality Dividend ETF (OUSM) Legg Mason Global Infrastructure ETF (INFR)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
t dion	, 4b-d
The .	19b-4(e)
Public Avariability:	JAN 0 4 2017